

## 18 - Transformers

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# Transformers



# Transformers

## Summary

- Attention
- Transformers
- Implementing a transformer model
  - Translate Portuguese to English
- (Complex details outside the scope of the course)

## Attention

# Attention

- Inspired on biological systems
  - We do not pay equal attention to everything but constantly focus on more relevant details
- Motivated by difficulties with encoder-decoder recurrent networks
  - Hard to learn long-term dependencies

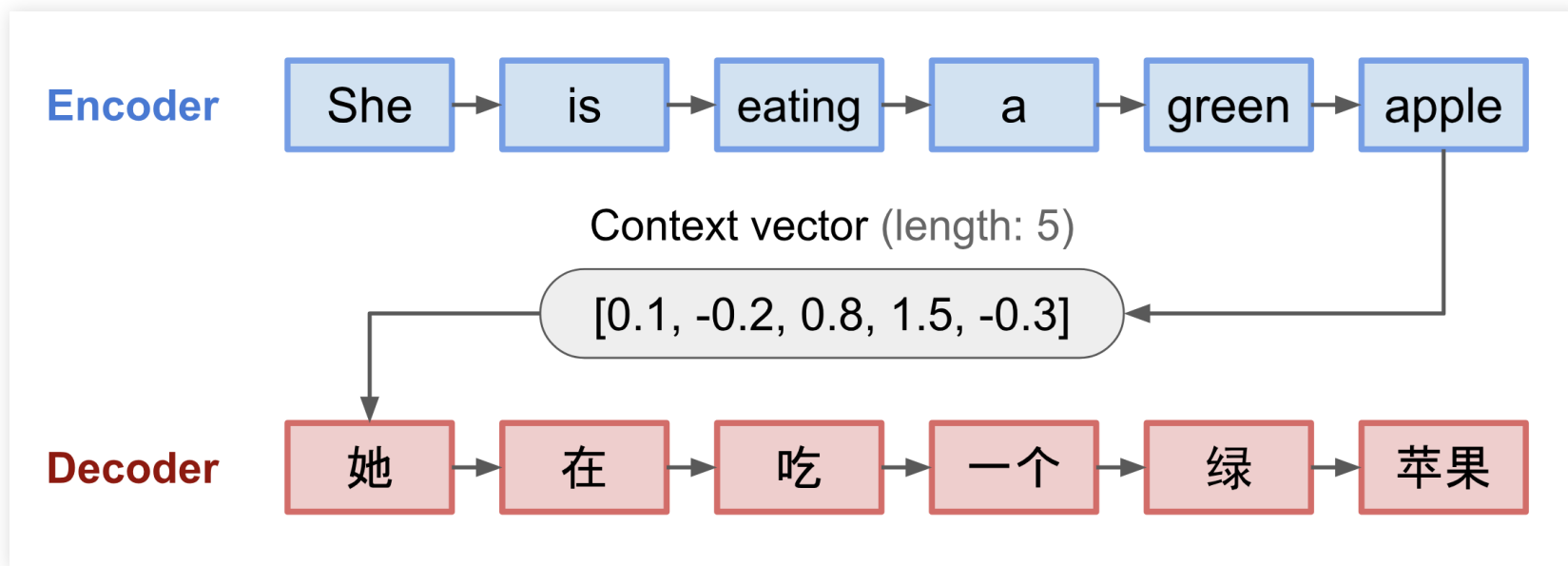


Image by Lilian Weng

# Attention

- Attention mechanism proposed to create dynamic context from weighted sum of encoder states



Image by Lilian Weng, adapted from Bahdanau et al., 2014.

# Attention

- It worked so well that we can do away with recurrent networks altogether
- Vaswani et al., 2017, proposed the Transformer architecture
  - Feed-forward, so faster to train
  - Generates sequence iteratively from the input sequence and the previously generated sequence
  - Uses scaled dot product attention

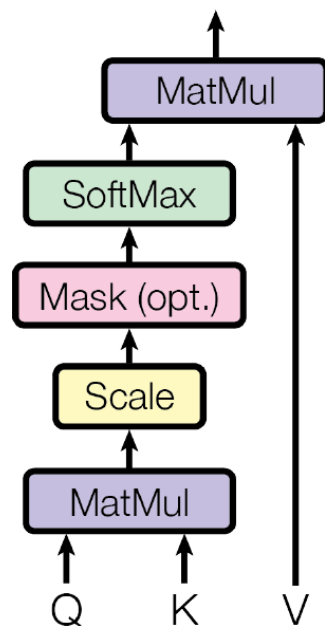
$$\text{Attention}(Q, K, V) = \text{softmax} \left( \frac{QK^\top}{\sqrt{d_k}} \right) V$$

- Distinction between keys, query and values is merely conceptual
  - E.g. it can be used for self-attention
- (There are other attention mechanisms)

# Attention

- The authors split attention into multiple "heads"
- Facilitates learning relations at different scales

## Scaled Dot-Product Attention



## Multi-Head Attention

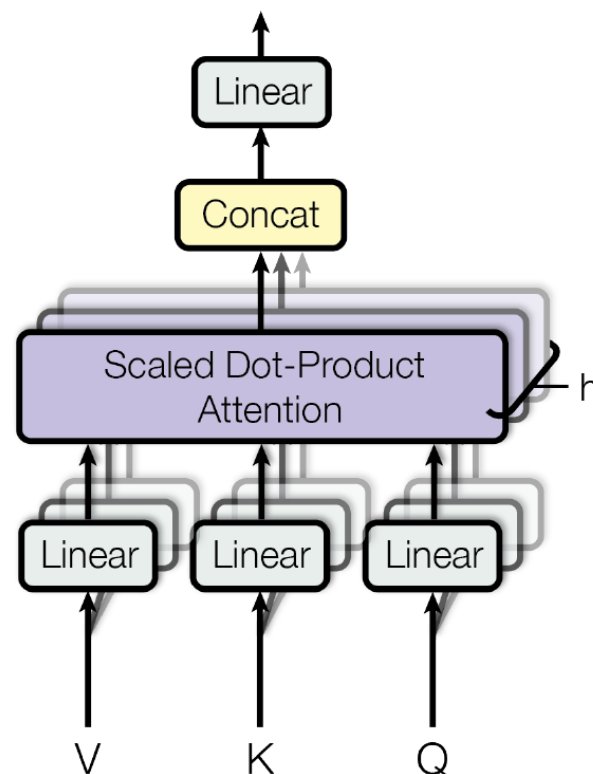
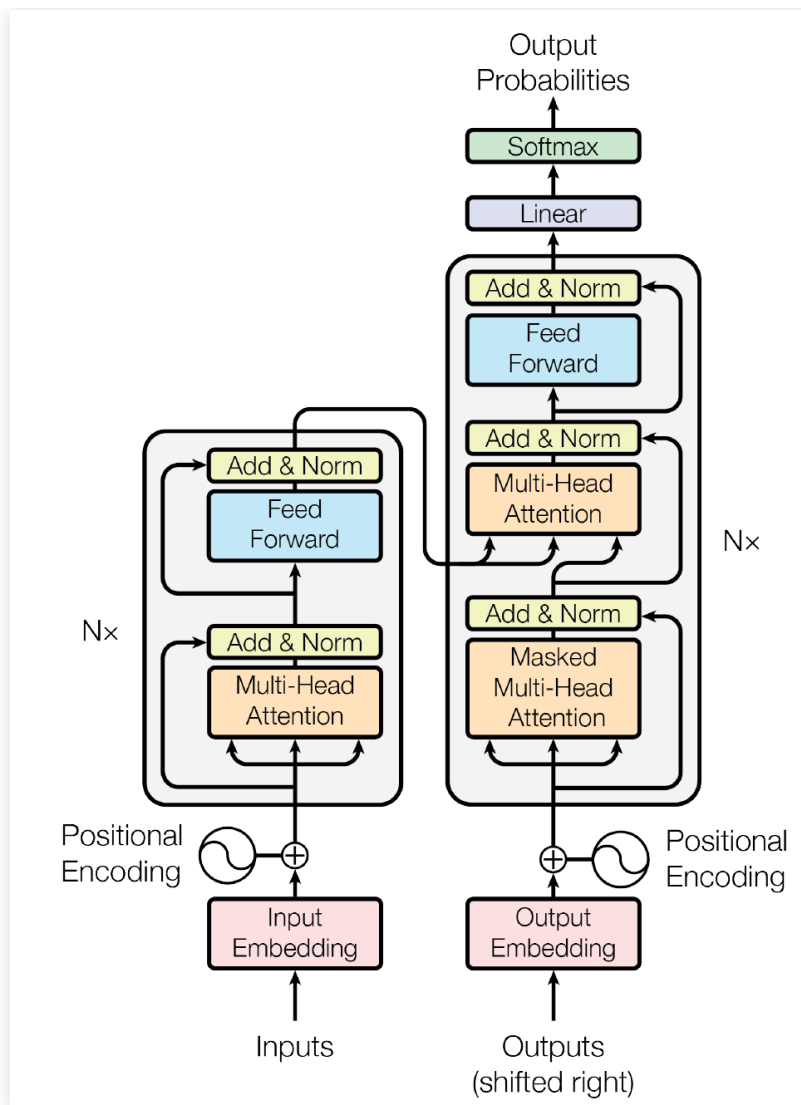


Image from Vaswani et al., 2017

# Transformer



## ■ Transformer model

- Feed-forward (faster to train)
- Encoder receives input sequence
- Decoder receives sequence generated so far
- Encoder output feeds into attention layer
- Self attention layers
- Several blocks repeated

## ■ Generating sequences

- Iteratively feeding input and previously generated output
- Predicts next token

## Implementing a Transformer

# Example

## Transformer to translate

- From Portuguese to English
- We will need:
  - Dataset
  - Tokenizer, to convert words to vector
- Instal necessary libraries into your Tensorflow environment

```
pip install tensorflow_datasets  
pip install tensorflow_text
```

# Example

```
import time
import numpy as np
import matplotlib.pyplot as plt
import tensorflow_datasets as tfds
import tensorflow as tf
examples, metadata = tfds.load('ted_hrlr_translate/pt_to_en', with_info=True,
                              as_supervised=True)
train_examples, val_examples = examples['train'], examples['validation']

model_name = "ted_hrlr_translate_pt_en_converter"
tf.keras.utils.get_file(
    f"{model_name}.zip",
    f"https://storage.googleapis.com/download.tensorflow.org/models/{model\_name}",
    cache_dir='.', cache_subdir='', extract=True)

tokenizers = tf.saved_model.load(model_name)
```

- Download data and tokenizers for PT and EN

# Example

## ■ Data pipeline using `tf.data.Dataset`

```
def tokenize_pairs(pt, en):  
    pt = tokenizers.pt.tokenize(pt)  
    pt = pt.to_tensor()  
    en = tokenizers.en.tokenize(en)  
    en = en.to_tensor()  
    return pt, en  
  
BUFFER_SIZE = 20000  
BATCH_SIZE = 64  
  
def make_batches(ds):  
    return (  
        ds  
        .cache()  
        .shuffle(BUFFER_SIZE)  
        .batch(BATCH_SIZE)  
        .map(tokenize_pairs, num_parallel_calls=tf.data.AUTOTUNE)  
        .prefetch(tf.data.AUTOTUNE))  
  
train_batches = make_batches(train_examples)  
val_batches = make_batches(val_examples)
```

# Example

## Positional encoding

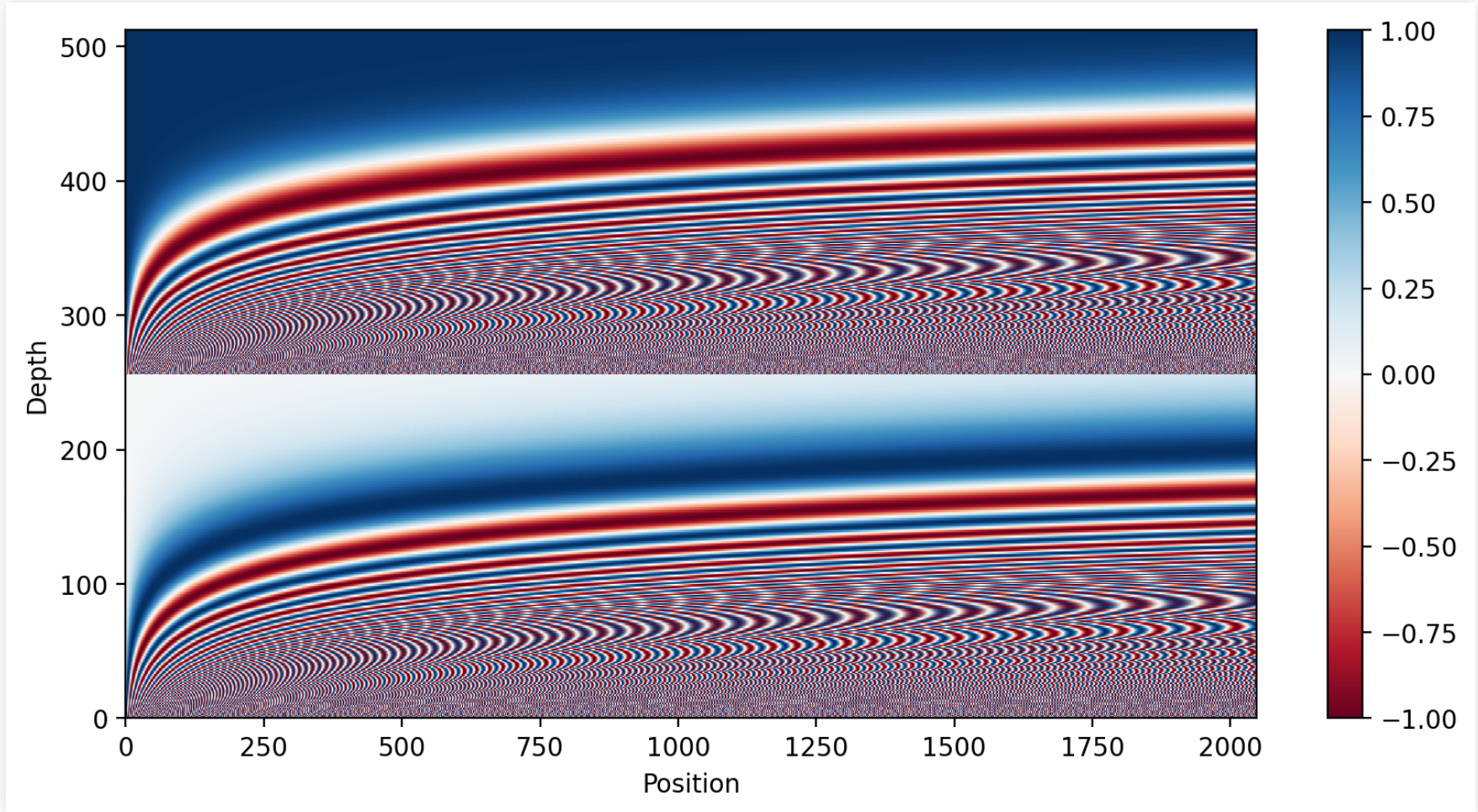
- We are always looking at the complete sentences, so we need positional information
- Add to word embedding vectors that are similar in similar positions but different when farther apart

$$PE_{(pos, 2i)} = \sin(pos/100000^{2i/d_{model}})$$

$$PE_{(pos, 2i+1)} = \cos(pos/100000^{2i/d_{model}})$$

# Example

## Positional encoding



# Example

## Positional encoding

```
def get_angles(pos, i, d_model):  
    angle_rates = 1 / np.power(10000, (2 * (i//2)) / np.float32(d_model))  
    return pos * angle_rates  
  
def positional_encoding(position, d_model):  
    angle_rads = get_angles(np.arange(position)[:, np.newaxis],  
                             np.arange(d_model)[np.newaxis, :],  
                             d_model)  
    angle_rads[:, 0::2] = np.sin(angle_rads[:, 0::2])  
    angle_rads[:, 1::2] = np.cos(angle_rads[:, 1::2])  
    pos_encoding = angle_rads[np.newaxis, ...]  
    return tf.cast(pos_encoding, dtype=tf.float32)
```

# Example

- We also need to mask our vectors
- Ignore 0 at the end when sentences smaller than maximum length
- Ignore future tokens when receiving the target sequences

```
def create_padding_mask(seq):  
    seq = tf.cast(tf.math.equal(seq, 0), tf.float32)  
    return seq[:, tf.newaxis, tf.newaxis, :] # (batch_size, 1, 1, seq_len)  
  
def create_look_ahead_mask(size):  
    mask = 1 - tf.linalg.band_part(tf.ones((size, size)), -1, 0)  
    return mask
```

```
In : create_look_ahead_mask(4)  
Out:  
<tf.Tensor: shape=(4, 4), dtype=float32, numpy=  
array([[0., 1., 1., 1.],  
       [0., 0., 1., 1.],  
       [0., 0., 0., 1.],  
       [0., 0., 0., 0.]], dtype=float32)>      [0., 0., 0.]], dtype=float32)>
```

# Example

## ■ Scaled dot-product attention

```
def scaled_dot_product_attention(q, k, v, mask):  
    matmul_qk = tf.matmul(q, k, transpose_b=True)  
    dk = tf.cast(tf.shape(k)[-1], tf.float32)  
    scaled_attention_logits = matmul_qk / tf.math.sqrt(dk)  
    if mask is not None:  
        scaled_attention_logits += (mask * -1e9)  
    attention_weights = tf.nn.softmax(scaled_attention_logits, axis=-1)  
    output = tf.matmul(attention_weights, v)  
    return output, attention_weights
```

## ■ Masking sets values to a large negative number

- This outputs zeros after softmax

# Example

- Create a Keras layer for multi-head attention
- This is optional; you will not be graded on this

```
class MultiHeadAttention(tf.keras.layers.Layer):  
    def __init__(self, d_model, num_heads):  
        super(MultiHeadAttention, self).__init__()  
        self.num_heads = num_heads  
        self.d_model = d_model  
        assert d_model % self.num_heads == 0  
        self.depth = d_model // self.num_heads  
        self.wq = tf.keras.layers.Dense(d_model)  
        self.wk = tf.keras.layers.Dense(d_model)  
        self.wv = tf.keras.layers.Dense(d_model)  
        self.dense = tf.keras.layers.Dense(d_model)  
  
    def split_heads(self, x, batch_size):  
        x = tf.reshape(x, (batch_size, -1, self.num_heads, self.depth))  
        return tf.transpose(x, perm=[0, 2, 1, 3])
```

# Example

- The `call` method is executed when using the layer

```
class MultiHeadAttention(tf.keras.layers.Layer):
    ...
    def call(self, v, k, q, mask):
        batch_size = tf.shape(q)[0]
        q = self.wq(q)
        k = self.wk(k)
        v = self.wv(v)
        q = self.split_heads(q, batch_size)
        k = self.split_heads(k, batch_size)
        v = self.split_heads(v, batch_size)
        scaled_attention, attention_weights = scaled_dot_product_attention(q,
                                                                           k, v, mask)
        scaled_attention = tf.transpose(scaled_attention, perm=[0, 2, 1, 3])
        concat_attention = tf.reshape(scaled_attention,
                                      (batch_size, -1, self.d_model))
        output = self.dense(concat_attention)
        return output, attention_weights
```

# Example

- The point-wise network is a stack of two dense layers with a relu between them

```
def point_wise_feed_forward_network(d_model, dff):  
    return tf.keras.Sequential([  
        tf.keras.layers.Dense(dff, activation='relu'),  
        tf.keras.layers.Dense(d_model)  
    ])
```

# Example

## ■ The encoder block

```
class EncoderLayer(tf.keras.layers.Layer):
    def __init__(self, d_model, num_heads, dff, rate=0.1):
        super(EncoderLayer, self).__init__()
        self.mha = MultiHeadAttention(d_model, num_heads)
        self.ffn = point_wise_feed_forward_network(d_model, dff)
        self.layernorm1 = tf.keras.layers.LayerNormalization(epsilon=1e-6)
        self.layernorm2 = tf.keras.layers.LayerNormalization(epsilon=1e-6)
        self.dropout1 = tf.keras.layers.Dropout(rate)
        self.dropout2 = tf.keras.layers.Dropout(rate)

    def call(self, x, training, mask):
        attn_output, _ = self.mha(x, x, x, mask)
        attn_output = self.dropout1(attn_output, training=training)
        out1 = self.layernorm1(x + attn_output)
        ffn_output = self.ffn(out1)
        ffn_output = self.dropout2(ffn_output, training=training)
        out2 = self.layernorm2(out1 + ffn_output)
        return out2
```

# Example

```
class DecoderLayer(tf.keras.layers.Layer):
    def __init__(self, d_model, num_heads, dff, rate=0.1):
        super(DecoderLayer, self).__init__()
        self.mha1 = MultiHeadAttention(d_model, num_heads)
        self.mha2 = MultiHeadAttention(d_model, num_heads)
        self.ffn = point_wise_feed_forward_network(d_model, dff)
        self.layernorm1 = tf.keras.layers.LayerNormalization(epsilon=1e-6)
        self.layernorm2 = tf.keras.layers.LayerNormalization(epsilon=1e-6)
        self.layernorm3 = tf.keras.layers.LayerNormalization(epsilon=1e-6)
        self.dropout1 = tf.keras.layers.Dropout(rate)
        self.dropout2 = tf.keras.layers.Dropout(rate)
        self.dropout3 = tf.keras.layers.Dropout(rate)

    def call(self, x, enc_output, training, look_ahead_mask, padding_mask):
        attn1, attn_weights_block1 = self.mha1(x, x, x, look_ahead_mask)
        attn1 = self.dropout1(attn1, training=training)
        out1 = self.layernorm1(attn1 + x)
        attn2, attn_weights_block2 = self.mha2(
            enc_output, enc_output, out1, padding_mask)
        attn2 = self.dropout2(attn2, training=training)
        out2 = self.layernorm2(attn2 + out1)
        ffn_output = self.ffn(out2)
        ffn_output = self.dropout3(ffn_output, training=training)
```

# Example

- Encoder: embedding, positional and encoder blocks

```
class Encoder(tf.keras.layers.Layer):
    def __init__(self, num_layers, d_model, num_heads, dff, input_vocab_size,
                  maximum_position_encoding, rate=0.1):
        super(Encoder, self).__init__()
        self.d_model = d_model
        self.num_layers = num_layers
        self.embedding = tf.keras.layers.Embedding(input_vocab_size, d_model)
        self.pos_encoding = positional_encoding(maximum_position_encoding,
                                                self.d_model)

        self.enc_layers = [EncoderLayer(d_model, num_heads, dff, rate)
                            for _ in range(num_layers)]
        self.dropout = tf.keras.layers.Dropout(rate)

    def call(self, x, training, mask):
        seq_len = tf.shape(x)[1]
        x = self.embedding(x)
        x *= tf.math.sqrt(tf.cast(self.d_model, tf.float32))
        x += self.pos_encoding[:, :seq_len, :]
        x = self.dropout(x, training=training)
        for i in range(self.num_layers):
            x = self.enc_layers[i](x, training, mask)
        return x
```

# Example

## ■ Decoder, similar

```
class Decoder(tf.keras.layers.Layer):
    def __init__(self, num_layers, d_model, num_heads, dff, target_vocab_size,
                  maximum_position_encoding, rate=0.1):
        super(Decoder, self).__init__()
        self.d_model = d_model
        self.num_layers = num_layers
        self.embedding = tf.keras.layers.Embedding(target_vocab_size, d_model)
        self.pos_encoding = positional_encoding(maximum_position_encoding, d_model)
        self.dec_layers = [DecoderLayer(d_model, num_heads, dff, rate)
                             for _ in range(num_layers)]
        self.dropout = tf.keras.layers.Dropout(rate)

    def call(self, x, enc_output, training, look_ahead_mask, padding_mask):
        seq_len = tf.shape(x)[1]
        attention_weights = {}
        x = self.embedding(x)
        x *= tf.math.sqrt(tf.cast(self.d_model, tf.float32))
        x += self.pos_encoding[:, :seq_len, :]
        x = self.dropout(x, training=training)
        for i in range(self.num_layers):
            x, block1, block2 = self.dec_layers[i](x, enc_output, training,
                                                    look_ahead_mask, padding_mask)
            attention_weights[f'decoder_layer{i+1}_block1'] = block1
```

# Example

## ■ The transformer model

```
class Transformer(tf.keras.Model):
    def __init__(self, num_layers, d_model, num_heads, dff, input_vocab_size,
                  target_vocab_size, pe_input, pe_target, rate=0.1):
        super(Transformer, self).__init__()
        self.tokenizer = Encoder(num_layers, d_model, num_heads, dff,
                                  input_vocab_size, pe_input, rate)
        self.decoder = Decoder(num_layers, d_model, num_heads, dff,
                                target_vocab_size, pe_target, rate)
        self.final_layer = tf.keras.layers.Dense(target_vocab_size)

    def call(self, inp, tar, training, enc_padding_mask,
             look_ahead_mask, dec_padding_mask):
        enc_output = self.tokenizer(inp, training, enc_padding_mask)
        dec_output, attention_weights = self.decoder(
            tar, enc_output, training, look_ahead_mask, dec_padding_mask)
        final_output = self.final_layer(dec_output)
        return final_output, attention_weights
```

# Example

## ■ Scheduling the learning rate

```
class CustomSchedule(tf.keras.optimizers.schedules.LearningRateSchedule):  
    def __init__(self, d_model, warmup_steps=4000):  
        super(CustomSchedule, self).__init__()  
        self.d_model = d_model  
        self.d_model = tf.cast(self.d_model, tf.float32)  
        self.warmup_steps = warmup_steps  
  
    def __call__(self, step):  
        arg1 = tf.math.rsqrt(step)  
        arg2 = step * (self.warmup_steps ** -1.5)  
        return tf.math.rsqrt(self.d_model) * tf.math.minimum(arg1, arg2)
```

# Example

## ■ Masked loss functions

- Loss and running means as global objects for graph compilation

```
loss_object = tf.keras.losses.SparseCategoricalCrossentropy(
    from_logits=True, reduction='none')

def loss_function(real, pred):
    mask = tf.math.logical_not(tf.math.equal(real, 0))
    loss_ = loss_object(real, pred)
    mask = tf.cast(mask, dtype=loss_.dtype)
    loss_ *= mask
    return tf.reduce_sum(loss_)/tf.reduce_sum(mask)

def accuracy_function(real, pred):
    accuracies = tf.equal(real, tf.argmax(pred, axis=2))
    mask = tf.math.logical_not(tf.math.equal(real, 0))
    accuracies = tf.math.logical_and(mask, accuracies)
    accuracies = tf.cast(accuracies, dtype=tf.float32)
    mask = tf.cast(mask, dtype=tf.float32)
    return tf.reduce_sum(accuracies)/tf.reduce_sum(mask)

train_loss = tf.keras.metrics.Mean(name='train_loss')
train_accuracy = tf.keras.metrics.Mean(name='train_accuracy')
```

# Example

## ■ Creating the model

```
num_layers = 4
d_model = 128
dff = 512
num_heads = 8
dropout_rate = 0.1

learning_rate = CustomSchedule(d_model)
optimizer = tf.keras.optimizers.Adam(learning_rate, beta_1=0.9, beta_2=0.98,
                                       epsilon=1e-9)

transformer = Transformer(
    num_layers=num_layers,
    d_model=d_model,
    num_heads=num_heads,
    dff=dff,
    input_vocab_size=tokenizers.pt.get_vocab_size(),
    target_vocab_size=tokenizers.en.get_vocab_size(),
    pe_input=1000,
    pe_target=1000,
    rate=dropout_rate)
```

# Example

- Checkpoints, to save regularly and resume

```
checkpoint_path = "./checkpoints/train"
ckpt = tf.train.Checkpoint(transformer=transformer,
                           optimizer=optimizer)
ckpt_manager = tf.train.CheckpointManager(ckpt, checkpoint_path, max_to_keep=5)
if ckpt_manager.latest_checkpoint:
    ckpt.restore(ckpt_manager.latest_checkpoint)
    print('Latest checkpoint restored!!')
```

- And a function to create the masks

```
def create_masks(inp, tar):
    enc_padding_mask = create_padding_mask(inp)
    dec_padding_mask = create_padding_mask(inp)
    look_ahead_mask = create_look_ahead_mask(tf.shape(tar)[1])
    dec_target_padding_mask = create_padding_mask(tar)
    combined_mask = tf.maximum(dec_target_padding_mask, look_ahead_mask)
    return enc_padding_mask, combined_mask, dec_padding_mask
```

# Example

- Compile the graph (more code, but faster to train)

```
train_step_signature = [
    tf.TensorSpec(shape=(None, None), dtype=tf.int64),
    tf.TensorSpec(shape=(None, None), dtype=tf.int64),
]

@tf.function(input_signature=train_step_signature)
def train_step(inp, tar):
    tar_inp = tar[:, :-1]
    tar_real = tar[:, 1:]
    enc_padding_mask, combined_mask, dec_padding_mask = create_masks(inp, tar_inp)
    with tf.GradientTape() as tape:
        predictions, _ = transformer(inp, tar_inp,
                                     True,
                                     enc_padding_mask,
                                     combined_mask,
                                     dec_padding_mask)
        loss = loss_function(tar_real, predictions)
    gradients = tape.gradient(loss, transformer.trainable_variables)
    optimizer.apply_gradients(zip(gradients, transformer.trainable_variables))
    train_loss(loss)
    train_accuracy(accuracy_function(tar_real, predictions))
```

# Example

## ■ The training loop

```
EPOCHS=20
for epoch in range(EPOCHS):
    start = time.time()
    train_loss.reset_states()
    train_accuracy.reset_states()
    for (batch, (inp, tar)) in enumerate(train_batches):
        train_step(inp, tar)
        if batch % 50 == 0:
            print(f'Epoch {epoch + 1} Batch {batch} Loss {train_loss.result():.4f} Acc {train_accuracy.result():.4f}')
    if (epoch + 1) % 5 == 0:
        ckpt_save_path = ckpt_manager.save()
        print(f'Saving checkpoint for epoch {epoch+1} at {ckpt_save_path}')
    print(f'Epoch {epoch + 1} Loss {train_loss.result():.4f} Accuracy {train_accuracy.result():.4f}')
    print(f'Time taken for 1 epoch: {time.time() - start:.2f} secs\n')
```

- Note: mean loss and accuracy are running averages and need resetting

# Example

- After training (Can take a bit, even with simplified model):

```
def evaluate(sentence, max_length=40):
    sentence = tf.convert_to_tensor([sentence])
    sentence = tokenizers.pt.tokenize(sentence).to_tensor()
    encoder_input = sentence
    start, end = tokenizers.en.tokenize([' '])[0]
    output = tf.convert_to_tensor([start])
    output = tf.expand_dims(output, 0)
    for i in range(max_length):
        enc_padding_mask, combined_mask, dec_padding_mask = create_masks(encoder_input,
                                                                           output,
                                                                           False,
                                                                           enc_padding_mask,
                                                                           combined_mask,
                                                                           dec_padding_mask)

        predictions = predictions[:, -1:, :] # (batch_size, 1, vocab_size)
        predicted_id = tf.argmax(predictions, axis=-1)
        output = tf.concat([output, predicted_id], axis=-1)
        if predicted_id == end:
            break
    text = tokenizers.en.detokenize(output)[0]
    tokens = tokenizers.en.lookup(output)[0]
    return text, tokens, attention_weights
```

# Example

## ■ Example of results

```
def translate(sentence):  
    translated_text, _, _ = evaluate(sentence)  
    print(translated_text.numpy().decode("utf-8"))
```

```
In : translate("este é um problema que temos que resolver")  
Out: this is a problem we have to solve .
```

```
In : translate("este modelo parece funcionar bem")  
Out: this model seems to work well .
```

```
In : translate("quem tudo quer tudo perde")  
Out: who always wants everything to lose .
```

```
In : translate("estás aqui estás a levar")  
Out: these are actually taking over here .
```

```
In : translate("estou feito ao bife")  
Out: i ' m done by the different tree .
```

## Summary

## Summary

- Attention mechanisms
- Transformer networks
  - Use attention instead of recurrent layers
- Implementing a transformer
- More advanced Keras and Tensorflow (optional)
  - Datasets
  - Schedulers and checkpoints
  - Creating layers and models
  - `tf.function`

